### Seventh Edition

# Fundamentals of Human NEUROPSYCHOLOGY

Bryan Kolb 🛞 Ian Q. Whishaw

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Subdivisions of the Central Nervous System Subcortical Structures Sensory Systems–Vision Sensory Systems–Audition Sensory Systems–Somatosenses Sensory Systems–Olfaction Motor System Limbic System Language The Cortex Brain Stem The Spinal Cord

### **Visual System**

The Eye Retina Optic Chiasm Lateral Geniculate Nucleus Superior Colliculus Primary Visual Cortex Higher Order Visual Areas

### **Control of Movement**

Organization of the Motor Systems Muscle and Receptor Anatomy Muscle Contraction Spinal Reflexes Descending Motor Tracts Primary Motor Cortex Higher Order Motor Cortex Basal Ganglia Cerebellum



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# Fundamentals of HUMAN NEUROPSYCHOLOGY

SEVENTH EDITION

### BRYAN KOLB & IAN Q. WHISHAW

University of Lethbridge



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Worth Publishers 41 Madison Avenue New York, NY 10010 www.worthpublishers.com To all the students whose interest in how the brain produces the mind and controls behavior makes this book possible.

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### **BRIEF CONTENTS**

Preface xix Media and Supplements xxiii

### **PART I Background**

CHAPTER 1 The Development of Neuropsychology 1

CHAPTER 2 Research on the Origins of the Human Brain and Behavior 28

CHAPTER 3 Nervous System Organization 53

CHAPTER 4 The Structure and Electrical Activity of Neurons 85

CHAPTER 5 Communication Between Neurons 115

CHAPTER 6 The Influence of Drugs and Hormones on Behavior 139

CHAPTER 7 Imaging the Brain's Activity 174

### **PART II** Cortical Organization

CHAPTER 8 Organization of the Sensory Systems 202 CHAPTER 9 Organization of the Motor System 232 CHAPTER 10 Principles of Neocortical Function 255 CHAPTER 11 Cerebral Asymmetry 283 CHAPTER 12

Variations in Cerebral Asymmetry 316

PART III Cortical Functions CHAPTER 13 The Occipital Lobes 350 CHAPTER 14 The Parietal Lobes 374 CHAPTER 15 The Temporal Lobes 400 CHAPTER 16 The Frontal Lobes 427 CHAPTER 17 Cortical Networks and Disconnection Syndromes 462

PART IV Higher Functions CHAPTER 18 Learning and Memory 480 CHAPTER 19 Language 515 CHAPTER 20 Emotion and the Social Brain 548 CHAPTER 21 Spatial Behavior 575 CHAPTER 22 Attention and Consciousness 607

**PART V** Plasticity and Disorders CHAPTER 23 Brain Development and Plasticity 635 CHAPTER 24 Neurodevelopmental Disorders 670 CHAPTER 25 Plasticity, Recovery, and Rehabilitation of the Adult Brain 699 CHAPTER 26 Neurological Disorders 730 CHAPTER 27 Psychiatric and Related Disorders 761 CHAPTER 28 Neuropsychological Assessment 793 Glossary G-1 Name Index NI-1

Subject Index SI-1

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### CONTENTS

Preface xix Media and Supplements xxiii

### **PART I Background**

### CHAPTER 1 The Development of Neuropsychology

PORTRAIT Living with Traumatic Brain Injury 1

- 1.1 The Brain Theory 2 What Is the Brain? 2 How Does the Brain Relate to the Rest of the Nervous System? 4
- 1.2 Perspectives on the Brain and Behavior 5 Aristotle: Mentalism 5 Descartes: Dualism 5 Darwin: Materialism 7 Contemporary Perspectives 8
- I.3 Brain Function: Insights from Brain Injury 8 Localization of Function 8 Lateralization of Function 10 Neuroplasticity 13 Hierarchical Organization 13

### SNAPSHOT (1) The Dilemma in Relating Behavior and Consciousness 14

- 1.4 The Neuron Theory 17 Nervous System Cells 17 Identifying the Neuron 18
  - Relating Electrical Activity in Neurons to Behavior 19
  - Connections Between Neurons As the Basis of Learning 20
- I.5 Contributions to Neuropsychology from Allied Fields 21 Neurosurgery 22 Psychometrics and Statistical Evaluation 23 Brain Imaging 24

### CHAPTER 2 Research on the Origins of the Human Brain and Behavior

PORTRAIT Evolving a Capacity for Language 28

- 2.1 Human Origins and the Origins of Larger Brains 29
  Research on Hominid Evolution 29
  Evolution of the Human Brain and Behavior 31
  Relating Brain Size and Behavior 32
  The Meaning of Human Brain-Size Comparisons 37
  The Acquisition of Culture 39
- 2.2 Comparative Research in Neuropsychology 39 Understanding Brain Mechanisms 40 Designing Animal Models of Disorders 40 Describing Evolutionary Adaptations 41
- @ 2.3 Genes, Environment, and Behavior 41

### SNAPSHOT I A Genetic Diagnosis 42

Mendelian Genetics and the Genetic Code 43 Applying Mendel's Principles 44 Genetic Engineering 47 Phenotypic Plasticity and the Epigenetic Code 49

### CHAPTER 3 Nervous System Organization

- **ORTRAIT** Stroke 53
- 3.1 Neuroanatomy: Finding Your Way Around the Brain 54 Describing Location in the Brain 54 A Wonderland of Nomenclature 56
- 3.2 Overview of Nervous System Structure and Function 57 Support and Protection 58 Blood Supply 59 Neurons and Glia 59 Gray, White, and Reticular Matter 61 Layers, Nuclei, Nerves, and Tracts 62

Soverage links neuropsychological theory and assessment

3.3 Origin and Development of the Central Nervous System 62 3.4 The Spinal Cord 64 Spinal-Cord Structure and Spinal Nerve Anatomy 64 Spinal-Cord Function and the Spinal Nerves 65 Cranial Nerve Connections 67 Autonomic Nervous System Connections 69 3.5 The Brainstem 70 The Hindbrain 70 The Midbrain 71 The Diencephalon 72 3.6 The Forebrain 72 The Basal Ganglia 73 The Limbic System 74 The Neocortex 75 Fissures, Sulci, and Gyri 76 Cortical Organization in Relation to Inputs, Outputs, and Function 77 Cellular Organization in the Cortex 78 Cortical Connections 80

SNAPSHOT Brainbow and Clarity 81

3.7 The Crossed Brain 82

### CHAPTER 4 The Structure and Electrical Activity of Neurons

PORTRAIT The Halle Berry Neuron 85

4.1 The Neuron's Structure 86 Overview of a Neuron 86 The Neuron as a Factory 87 The Cell Membrane: Barrier and Gatekeeper 88 The Nucleus: Blueprints for Proteins 90 Protein Synthesis: Transcription and Translation 91 Applying Epigenetic Mechanisms 92 Proteins: The Cell's Products 93 Golai Bodies and Microtubules: Protein Packaging and Shipment 93 Crossing the Cell Membrane: Channels, Gates, and Pumps 94 4.2 The Neuron's Electrical Activity 95 Recording from an Axon 96 How the Movement of Ions Creates

Electrical Charges 97

The Resting Potential 99 Graded Potentials 102 The Action Potential 103

Sending a Message Along an Axon 106 The Nerve Impulse 106 Saltatory Conduction and Myelin Sheaths 107

### SNAPSHOT Diagnosing MS 108

 4.4 How Neurons Integrate Information 109
 Excitatory and Inhibitory Postsynaptic Potentials 109
 Voltage-Sensitive Channels and the Action Potential 110
 Summation of Inputs 110
 The Versatile Neuron 112

### CHAPTER 5

### **Communication Between Neurons**

PORTRAIT Otto Loewi's Dream Breakthrough 115

- 5.1 Neurotransmitter Discovery 116
- 5.2 The Structure of Synapses 117 © Chemical Synapses 117 Electrical Synapses 118
- Step 1: Transmitter Synthesis and Storage 120 Step 2: Neurotransmitter Release 120 Step 3: Receptor-Site Activation 121 Step 4: Neurotransmitter Deactivation 121
- 5.4 Types of Synapses 122 Synaptic Variations 122 Excitatory and Inhibitory Messages 123
- 5.5 Varieties of Neurotransmitters 124
   Four Criteria for Identifying Neurotransmitters 124
   Three Classes of Neurotransmitters 125
   Peptide Transmitters 127
   Transmitter Gases 128
- 5.6 Excitatory and Inhibitory Receptors 129 Ionotropic Receptors and Excitation 129 Metabotropic Receptors and Inhibition 129 Excitatory and Inhibitory Receptor Effects 131

S.7 Neurotransmitter Activating Systems and Behavior 131

> Neurotransmission in Peripheral Nervous System Divisions 131

Activating Systems of the Central Nervous System 132

SNAPSHOT (
Neurochemical Links Between SIDS and Sleep Apnea 136

### CHAPTER 6 The Influence of Drugs and Hormones on Behavior

**ORTRAIT** The Case of the Frozen Addict 139

 6.1 Principles of Psychopharmacology 140 Routes of Drug Administration 140 Routes of Drug Removal 141 Revisiting the Blood–Brain Barrier 142 Drug Routes and Dosage 143

 6.2 Drug Actions in Synapses 143 Steps in Synaptic Transmission 144 Examples of Drug Action: An Acetylcholine Synapse 144 Tolerance 146 Sensitization 146 Can Drugs Cause Brain Damage? 148

6.3 Grouping Psychoactive Drugs 150 Group I: Antianxiety Agents and Sedative Hypnotics 150 Group II: Antipsychotic Agents 152 Group III: Antidepressants and Mood Stabilizers 153 Group IV: Opioid Analgesics 155 Group V: Psychotropics 156

#### SNAPSHOT Cognitive Enhancement 158

General Stimulants 160

 6.4 Individual Responses and Influences on Addiction 160 Behavior on Drugs 160 Addiction and Dependence 161 Sex Differences in Addiction 162 Wanting-and-Liking Theory 162 Treating Drug Abuse 163

# 6.5 Hormones 164 Hierarchical Control of Hormones 165 © Classes and Functions of Hormones 165

Homeostatic Hormones 166 Gonadal Hormones 167 Anabolic–Androgenic Steroids 168 © Glucocorticoids and Stress 168 © Ending a Stress Response 169

### CHAPTER 7 Imaging the Brain's Activity

PORTRAIT Angelo Mosso 174

- 7.1 Recording the Brain's Electrical Activity 175 Single-Cell Recording 175 Electroencephalographic Recording 177 Event-Related Potentials 181 Magnetoencephalography 183
- 7.2 Brain Stimulation 183 Deep Brain Stimulation 184 Transcranial Magnetic Stimulation 184
- 7.3 Static Imaging Techniques 185 Imaging by X-Ray 185 Computed Tomography 186
- 7.4 Dynamic Brain Imaging 187
  Positron Emission Tomography 187
  Magnetic Resonance Imaging 189
  Magnetic Resonance Spectroscopy 191
  Diffusion Tensor Imaging 192
  Functional Magnetic Resonance Imaging 193
  Resting-State fMRI 194
  Optical Tomography 195

### SNAPSHOT I Tuning in to Language 196

 7.5 Comparing Brain-Imaging Techniques and Uses 197
 Imaging Techniques, Pro and Con 198
 Toward Multimodal Brain Atlases 199

### **PART II** Cortical Organization

### CHAPTER 8 Organization of the Sensory Systems

- **ORTRAIT** Phantoms of the Brain 202
- 8.1 General Principles of Sensory-System Function 203 Sensory Receptors and Neural Relays 203

Neural Relays Determine the Hierarchy of Motor Responses 207 Central Organization of Sensory Systems 208

8.2 Sensory Receptors and Pathways 211 Vision 211 Hearing 214 Body Senses 217 The Chemical Senses: Taste and Smell 223

### SNAPSHOT <sup>(©)</sup> Watching the Brain Make Flavor 225

8.3 Perception 228 Illusions 228 Synesthesia 229 Sensory Synergies 229

### CHAPTER 9 Organization of the Motor System

- **ORTRAIT** Mind in Motion 232
- 9.1 The Neocortex: Initiating Movement 233 Mapping the Motor Cortex Using Electrical Stimulation 235 Multiple Representations in the Motor Cortex 236 The Movement Lexicon 239
  (i) Mirroring Movement 242

### SNAPSHOT © Recording Mirror Neuron Activity 244

- Interpretation 9.2 The Brainstem: Motor Control 245 The Basal Ganglia and Movement Force 245 The Cerebellum and Motor Learning 247
- 9.3 Communicating with the Spinal Cord 250 Spinal-Cord Pathways 250 Spinal Motor Neurons 251

### CHAPTER 10 Principles of Neocortical Function

ORTRAIT Hemispherectomy 255

10.1 A Hierarchy of Function from Spinal Cord to Cortex 256
The Spinal Cord: Reflexes 258
The Hindbrain: Postural Support 258
The Midbrain: Spontaneous Movement 260
The Diencephalon: Affect and Motivation 261
The Basal Ganglia: Self-Maintenance 262 The Cortex: Intention 263

IO.2 The Structure of the Cortex 264 Cortical Cells 264

### SNAPSHOT Mapping the Human Cortex 265

Cortical Layers, Efferents, and Afferents 266 Cortical Columns, Spots, and Stripes 268 Multiple Representations: Mapping Reality 270 Cortical Systems: Frontal Lobe, Paralimbic Cortex, and Subcortical Loops 272 Cortical Connections, Reentry, and the Binding Problem 273

- IO.3 Functional Organization of the Cortex 275

   A Hierarchical Model of Cortical Function 275
   Evaluating the Hierarchical Model 276
   A Contemporary Model of Cortical Function 278
- IO.4 Do Human Brains Possess Unique Properties? 279

### CHAPTER 11 Cerebral Asymmetry

**ORTRAIT** Words and Music 283

- 11.1 Anatomical Asymmetries in the Human Brain 284 Cerebral Asymmetry 284 Neuronal Asymmetry 288 Genetic Asymmetry 288
- 11.2 Asymmetries in Neurological Patients 289
   Patients with Lateralized Lesions 289
   Commissurotomy Patients 291
   Brain Stimulation 294
   Carotid Sodium Amobarbital Injection 296
- 11.3 Behavioral Asymmetries in the Intact Brain 298 Asymmetry in the Visual System 298 Asymmetry in the Auditory System 299 Asymmetry in the Somatosensory System 300 Asymmetry in the Motor System 301
  - What Do Laterality Studies Tell Us about Brain Function? 303
- 11.4 Neuroimaging and Asymmetry 304
- Il.5 Theoretical Arguments: What Is Lateralized? 306 Specialization Models 306

Interaction Models 308 Preferred Cognitive Mode 309

### SNAPSHOT Imaging the Brain's Plasticity 310

Measuring Behavior in Neuropsychology 311

### CHAPTER 12 Variations in Cerebral Asymmetry

ORTRAIT Individual Responses to Injury 316

 12.1 Handedness and Functional Asymmetry 316 Anatomical Studies 317 Functional Cerebral Organization in Left-Handers 318
 Theories of Hand Preference 319

### SNAPSHOT (©) Genetic Influences on Brain Structure 321

12.2 Sex Differences in Cerebral Organization 323 Sex Differences in Children's Behavior 323 Sex Differences in Adult Behavior 324 Sex Differences in Brain Structure 328 The Homosexual Brain 330 Sex Differences Revealed in Functional Imaging Studies 331 Research with Neurological Patients 332
Explanations for Sex Differences 333

- I 2.3 Environmental Effects on Asymmetry 338 Language and Culture 338 Sensory or Environmental Deficits 340
- 12.4 Asymmetry in Nonhuman Animals 343 Asymmetry in Birds 344 Asymmetry in Nonhuman Primates 344

### **PART III** Cortical Functions

### CHAPTER 13 The Occipital Lobes

PORTRAIT An Injured Soldier's Visual World 350

13.1 Occipital Lobe Anatomy 350Subdivisions of the Occipital Cortex 351Connections of the Visual Cortex 353

 13.2 A Theory of Occipital-Lobe Function 353
 Visual Functions Beyond the Occipital Lobe 354
 Visual Pathways Beyond the Occipital Lobe 357
 Imaging Studies of Dorsal and Ventral Streams 359
 Top-Down Predictions in Vision 360

I 3.3 Disorders of Visual Pathways 360

- I 3.4 Disorders of Cortical Function 362 Case B.K.: V1 Damage and a Scotoma 362 Case D.B.: V1 Damage and Blindsight 364 Case G.Y. and Related Cases: V1 Damage and Conscious Vision 364
  - Case J.I.: V4 Damage and Loss of Color Vision 364
  - Case P.B.: Conscious Color Perception in a Blind Patient 365
  - Case L.M.: V5 (MT) Damage and the Perception of Movement 365
  - Case D.F.: Occipital Damage and Visual Agnosia 366
  - Case V.K.: Parietal Damage and Visuomotor Guidance 367
  - Cases D. and T.: Higher-Level Visual Processes 367 Conclusions from the Case Studies 368
- I 3.5 Visual Agnosia 368 Object Agnosias 368 Other Visual Agnosias 369
- 13.6 Visual Imagery 370
- SNAPSHOT @ Generating Mental Images 371

### CHAPTER 14 The Parietal Lobes

- **ORTRAIT** Varieties of Spatial Information 374
- 14.1 Parietal Lobe Anatomy 374
   Subdivisions of the Parietal Cortex 375
   Connections of the Parietal Cortex 376
   Anatomy of the Dorsal Stream 377
- 14.2 A Theory of Parietal-Lobe Function 378

   Behavioral Uses of Spatial Information 379

   The Complexity of Spatial Information 382

   Other Parietal-Lobe Functions 382

## SNAPSHOT Spatial Cognition and White-Matter Organization 383

I 14.3 Somatosensory Symptoms of Parietal Lesions 384 Somatosensory Thresholds 384 Somatoperceptual Disorders 385 Numb Touch 385 Somatosensory Agnosias 386

- 14.4 Symptoms of Posterior Parietal Damage 387 Bálint's Syndrome 387 Contralateral Neglect and Other Symptoms of Right Parietal Lesions 388 The Gerstmann Syndrome and Other Left Parietal Symptoms 390 Apraxia and the Parietal Lobe 391 Drawing 392 Spatial Attention 392 Disorders of Spatial Cognition 393 Left and Right Parietal Lobes Compared 394
- I4.5 Major Symptoms and Their Assessment 394 Clinical Neuropsychological Assessment 395

# CHAPTER 15 The Temporal Lobes

PORTRAIT Living with Temporal-Lobe Damage 400

- 15.1 Temporal-Lobe Anatomy 400 Subdivisions of the Temporal Cortex 401 Connections of the Temporal Cortex 402 Anatomy of the Ventral Stream 403
- 15.2 A Theory of Temporal-Lobe Function 404 The Superior Temporal Sulcus and Biological Motion 406 Visual Processing in the Temporal Lobe 406 Are Faces Special? 409 Auditory Processing in the Temporal Lobe 411 Asymmetry of Temporal-Lobe Function 415
  15.3 Symptoms of Temporal-Lobe Lesions 416 Disorders of Auditory and Speech

Perception 417 Disorders of Music Perception 417

### SNAPSHOT () Imaging Auditory Hallucinations 418

Disorders of Visual Perception 419 Disturbance of Visual- and Auditory-Input Selection 420 Impaired Organization and Categorization 420 Inability to Use Contextual Information 421 Memory Impairment 421 Altered Affect and Personality 422 Changes in Sexual Behavior 423

I 5.4 Clinical Neuropsychological Assessment of Temporal-Lobe Damage 423

### CHAPTER 16 The Frontal Lobes

- **PORTRAIT** Losing Frontal-Lobe Functions 427
- 16.1 Frontal-Lobe Anatomy 427Subdivisions of the Frontal Cortex 428The Connectome and the Frontal Cortex 430
- 16.2 A Theory of Frontal-Lobe Function 431
   Functions of the Premotor Cortex 432
   Functions of the Prefrontal Cortex 433
   Asymmetry of Frontal-Lobe Function 435
   Heterogeneity of Frontal-Lobe Function 435

# SNAPSHOT (®) Heterogeneity of Function in the Orbitofrontal Cortex 436

 16.3 Symptoms of Frontal-Lobe Lesions 437 Disturbances of Motor Function 437 Loss of Divergent Thinking 440 Environmental Control of Behavior 443 Poor Temporal Memory 446 Impaired Social and Sexual Behavior 449 Does a Spatial Deficit Exist? 452 Clinical Neuropsychological Assessment of Frontal-Lobe Damage 452

- 16.4 Intelligence and the Frontal Lobes 454
- 16.5 Imaging Frontal-Lobe Function 455

I 16.6 Disorders Affecting the Frontal Lobe 457

### CHAPTER 17 Cortical Networks and Disconnection Syndromes

**ORTRAIT** At Cross Purposes 462

- 17.1 Disconnecting Cognitive Functions 463
- 17.2 Anatomy of Cerebral Connections 464
- I7.3 Cortical Networks and Hubs 466
- I7.4 Behavioral Effects of Disconnection 468

I7.5 Hemispheric Disconnection 470 Commissuration 470 Callosal Agenesis and Early Transections 471

 17.6 Disconnecting Sensorimotor Systems 472 Olfaction 472 Vision 473 Somatosensory Functions 474 Audition 474 Movement 475 Effects of Partial Disconnection 476

## SNAPSHOT (2) An fMRI Study of Disconnection 476

 17.7 Lesion Effects Reinterpreted As Disconnection Syndromes 477 Apraxia 477 Agnosia and Alexia 477 Contralateral Neglect 478 Hubs and Connectivity in Brain Dysfunction 478

### **PART IV Higher Functions**

### CHAPTER 18 Learning and Memory

PORTRAIT The Mystery of Memory 480

I8.1 Learning, Memory, and Amnesia 481 Varieties of Amnesia 482 Anterograde and Retrograde Amnesia 484 Time-Dependent Retrograde Amnesia 484 Three Theories of Amnesia 485

- 18.2 Long-Term Explicit Memory 486 Episodic Memory 486 Autonoetic Awareness of Time 487 Semantic Memory 488 Neural Substrates of Explicit Memory 489 Hemispheric Specialization for Explicit Memory 495
- I 8.3 Long-Term Implicit Memory 497 Sparing of Implicit Memory in Amnesia 497 Neural Substrates of Implicit Memory 498
- I 8.4 Long-Term Emotional Memory 501 Evoking Negative Emotions 501 Neural Substrates of Emotional Memory 501

Unique Aspects of Emotional Memory 502

- I 8.5 Short-Term Memory 502 Short-Term Memory and the Temporal and Parietal Lobes 503
  - Short-Term Memory and the Frontal Lobes 503
  - Neuropsychological Testing for Short-Term Memory Function 503

## SNAPSHOT Disrupting Memory Formation 504

I8.6 Neurological Diseases and Long-Term Memory 507 Transient Global Amnesia 507 Herpes Simplex Encephalitis 507 Alzheimer's Disease 508 Korsakoff's Syndrome 508 Neurotransmitter Activating Systems and Memory 509

I 8.7 Special Memory Abilities 510 Savant Syndrome 510 Superior Autobiographical Memory 511

### CHAPTER 19

### Language

ORTRAIT Multilingual Meltdown 515

- 19.1 What Is Language? 516 Language Structure 516 Producing Sound 517 Core Language Skills 518
- 19.2 Searching for the Origins of Language 519

### SNAPSHOT () Genetic Basis for an Inherited Speech and Language Disorder 520

Continuity Theory 520 Discontinuity Theory 523 Experimental Approaches to Language Origins 524

19.3 Localization of Language 526 Anatomical Areas Associated with Language 527

Speech Zones Mapped by Brain Stimulation and Surgical Lesions 529

Speech Zones Mapped by Brain-Imaging Techniques 531

- Neural Networks for Language 533
- In 19.4 Language Disorders 536 Fluent Aphasias 536

Nonfluent Aphasias 538 Pure Aphasias 538

- 19.5 Localization of Lesions in Aphasia 538 Cortical Language Components 539 Subcortical Language Components 540 Right-Hemisphere Contributions to Language 540
   19.6 Neuropsychological Assessment
- I 9.6 Neuropsychological Assessment of Aphasia 541 Assessing Developmental Language Disorders 542

### CHAPTER 20 Emotion and the Social Brain

**ORTRAIT** Agenesis of the Frontal Lobe 548

- 20.1 The Nature of Emotion 549 What Are Emotions? 549 Components of Emotion 549
- 20.2 Historical Views 550 Investigating the Anatomy of Emotion 550 The Emotional Brain 551 Cortical Connections of Emotion 551
- 20.3 Candidate Structures in Emotional Behavior 553 Processing Emotional Stimuli 553 Brain Circuits for Emotion 554
- 20.4 Neuropsychological Theories of Emotion 556 Appraisal Theories of Emotion 556

## SNAPSHOT Brain Activation in Social Cognition 558

Cognitive–Emotional Interactions 559 Cognitive Asymmetry and Emotion 561

- 20.5 Asymmetry in Emotional Processing 562 Producing Emotional Behavior 562 Interpreting Emotional Behavior 564 Temporal-Lobe Personality 566
- 20.6 The Social Brain and Social Cognition 567 Frontal Lesions in Monkeys 567 Cerebral Lesions in Humans 568 Social Neural Networks 569 The Self and Social Cognition 570 Cognitive Control of Emotion 571

### CHAPTER 21 Spatial Behavior

ORTRAIT Lost in Space 575

- 21.1 Spatial Behavior and Spatial Impairments 576 Explaining Spatial Behavior 577
  - Clinical Descriptions of Spatial Impairments 577
     Topographic Disorientation 578
- 21.2 Dorsal- and Ventral-Stream Contributions to Spatial Behavior 581 The Dorsal Stream in Parietal Cortex 581 The Dorsal Stream in Frontal Cortex 584 The Dorsal and Ventral Streams in Temporal Cortex 585

### SNAPSHOT (1) Imaging the Hippocampi of London Taxi Drivers 586

21.3 Experimental Models of Spatial Behavior 587 Route Following 588 Piloting 588 Caching Behavior 590 Dead Reckoning 591
(a) Neuropsychological Tests of Spatial Behavior 593 Single-Cell Recording and Spatial Behavior 594 Location of Spatial Cells 597
(a) 21.4 Individual Differences in

- Individual Differences in Spatial Abilities 598 Sex-Related Differences 598 Handedness and Spatial Ability 601
- 21.5 Episodic Memory, Scene Construction, and Theory of Mind 601
   Spatial Activity in Episodic Memory 601
   Spatial Memory as Distinct from Episodic Memory 602
   Spatial and Episodic Memory as Hippocampal Functions 602
   Theory of Mind 603

### CHAPTER 22 Attention and Consciousness

**PORTRAIT** A Curious Case of Neglect 607

22.1 Defining Attention and Consciousness 608 22.2 Attention 609
 609

Automatic and Conscious Processing Compared 609 Neurophysiological Evidence of Attention 612 Parallel Processing of Sensory Input 615 Functional Imaging and Attention 615 © Networks of Attention 618 Mechanisms of Attention 621

- 22.3 Inattention 622 Absence of Visual Attention 622 Sensory Neglect 624
- 22.4 Consciousness 625 The Neural Basis of Consciousness 627 Cerebral Substrates of Consciousness 629

SNAPSHOT © Stimulating Nonconscious Emotion 630

> Emotion and Consciousness 630 Nonconscious Processing 632

### **PART V Plasticity and Disorders**

### CHAPTER 23 Brain Development and Plasticity

**ORTRAIT** Plasticity and Language 635

- 23.1 Approaches to Studying Brain Development 635
- 23.2 Development of the Human Brain 636 Neuron Generation 638 Cell Migration and Differentiation 639 Neural Maturation 640 Synapse Formation and Pruning 641 Glial Development 643
  The Adolescent Brain 643
- 23.3 Imaging Studies of Brain Development 644
- Solving Ability 647
   Solving Ability 647
- 23.5 Environmental Effects on Brain Development 650 Developmental Effects of Aversive Environments 651
   Environmental Influences on Brain Organization 652
   Experience and Neural Connectivity 654
   Plasticity of Representational Zones in the Developing Brain 655

Solution State S

### SNAPSHOT © Distinct Cortical Areas for Second Languages 658

Reorganization of Language 660 Absence of Language After Bilateral Lesions 662

- Studying Plasticity After Early Brain Injury 662 Effects of Early Brain Lesions on Behaviors
  - Later in Life 663 Effects of Early Brain Lesions on Brain Structure Later in Life 665

Factors Influencing Plasticity After Early Cortical Injury 665

### CHAPTER 24 Neurodevelopmental Disorders

**ORTRAIT** Life Without Reading 670

 24.1 Neurodevelopmental Disorders 671 Historical Background and Evolution of Understanding 671 Incidence of Neurodevelopmental Disorders 673 Types of Neurodevelopmental Disorders 673

24.2 Learning Disorders That Affect Reading 674 Types of Reading 674 Causes of Reading Disorders 675

### SNAPSHOT () Imaging Sound Perception in Controls and Subjects with Dyslexia 678

Neuropsychological Evaluation 679

 24.3 Nonlanguage Neurodevelopmental Disorders 681 Attention-Deficit/Hyperactivity Disorder 681 Cerebral Palsy 683 Hydrocephalus 685 Autism Spectrum Disorders 686 Fragile-X Syndrome 689 Fetal Alcohol Spectrum Disorder 690
 24.4 Developmental Influences on

Structural Damage and Toxic Effects 692 Hormonal Effects: The Geschwind– Galaburda Theory 693 Environmental Deprivation 694 The Birthday Effect 694

 24.5 Adult Outcome of Neurodevelopmental Disorders 695

### CHAPTER 25

# Plasticity, Recovery, and Rehabilitation of the Adult Brain

PORTRAIT Concussion 699

© 25.1 Principles of Brain Plasticity 700

Principle 1: Plasticity is common to all nervous systems, and the principles are conserved. 700

Principle 2: Plasticity can be analyzed at many levels. 700

Principle 3: The two general types of plasticity derive from experience. 707

Principle 4: Similar behavioral changes can correlate with different plastic changes. 707

Principle 5: Experience-dependent changes interact. 707

Principle 6: Plasticity is age-dependent. 708

Principle 7: Plastic changes are timedependent. 708

- Principle 8: Plasticity is related to an experience's relevance to the animal. 708
- Principle 9: Plasticity is related to the intensity or frequency of experiences. 709

Principle 10: Plasticity can be maladaptive. 709

 Solution 25.2 Can Plasticity Support Functional Recovery After Injury? 709 Compensation Compared with Recovery 710 What Happens When a Brain Is Injured? 711

 25.3 Examples of Functional Restitution 712 Recovery from Motor-Cortex Damage 712 Recovery from Aphasia 712 Recovery from Traumatic Lesions 713 Recovery from Surgical Lesions 714 Return to Daily Life 715

 25.4 Research on Plasticity in the Injured Brain 717 Functional Imaging After Cerebral Injury 717

### SNAPSHOT (1) Using Imaging to Study Recovery 718

Physiological Mapping After Cerebral Injury 719

 25.6 Therapeutic Approaches to Recovery After Brain Damage 721 Rehabilitation 722 Pharmacological Therapies 724 Electrical Stimulation 725 Brain-Tissue Transplants and Stem-Cell Induction 725 Diet 726

### CHAPTER 26 Neurological Disorders

- **ORTRAIT** Posttraumatic Stress Disorder 730
- Solution 26.1 The Neurological Examination 731
   The Patient's History 731
   The Physical Examination 731
- 26.2 Cerebral Vascular Disorders 733 Types of Cerebral Vascular Disease 733 Treating Cerebral Vascular Disorders 735
- 26.3 Traumatic Brain Injuries 736
   Open Head Injuries 737
   Closed Head Injuries 737
   Behavioral Assessment of Head Injury 739
   Recovering from and Preventing Head Injury 741
- 26.4 Epilepsy 741 Classifying Seizures 742 © Treating Epilepsy 743
- 26.5 Tumors 743
- 26.6 Headache 745 Types of Headache 745 Treating Headache 747
- 26.7 Infections 747 Types of CNS Infection 748 Treating CNS Infection 749
- 26.8 Disorders of Motor Neurons and the Spinal Cord 750 Myasthenia Gravis 750 Poliomyelitis 751 Multiple Sclerosis 751 Paraplegia 752 Brown-Séquard Syndrome 752 Hemiplegia 753
- Sleep Disorders 753 Narcolepsy 755 Insomnia 756

SNAPSHOT @ Restless Legs Syndrome 757

### CHAPTER 27 Psychiatric and Related Disorders

ORTRAIT Losing Touch with Reality 761

27.1 The Brain and Behavior 761

### 27.2 Schizophrenia 762

- Structural Abnormalities in Schizophrenic Brains 763
- Biochemical Abnormalities in the Brains of People with Schizophrenia 764
- Schizophrenia as a Neurodevelopmental Disorder 765
- © Cognitive Symptoms in Schizophrenia 765

#### 27.3 Mood Disorders 767

Neurophemical Aspects of Depression 767 Neuropathological and Blood-Flow Abnormalities in Depression 768

### SNAPSHOT (2) Cortical Metabolic and Anatomical Abnormalities in Mood Disorders 769

Neurobiological Aspects of Bipolar Disorder 770

- 27.4 Anxiety Disorders 771
- 27.5 Psychiatric Symptoms of Cerebral Vascular Disease 772
- 27.6 Psychosurgery 773
- 27.7 Motor Disorders 774
  - Weight Stress Stress
  - Hypokinetic Disorders 778
  - Causes of Parkinsonism 780
  - Treating Parkinson's Disease 781
  - Psychological Aspects of Parkinson's Disease 782

#### 27.8 Dementias 783

Anatomical Correlates of Alzheimer's Disease 784

Putative Causes of Alzheimer's Disease 786

Clinical Symptoms and the Progression of Alzheimer's Disease 787

Ø 27.9 Micronutrients and Behavior 788

# CHAPTER 28 Output: Output:

PORTRAIT Lingering Effects of Brain Trauma 793

- 28.1 The Changing Face of Neuropsychological Assessment 794 Functional Brain Imaging 794 Cognitive Neuroscience 795 Managed Care 796
- 28.2 Rationale Behind Neuropsychological Assessment 797 Factors Affecting Test Choice 798 Goals of Neuropsychological Assessment 798 Intelligence Testing in Neuropsychological Assessment 799

Categories of Neuropsychological Assessment 801

- 28.3 Neuropsychological Tests and Brain Activity 802
- 28.4 The Problem of Effort 803

Section 28.5 Case Histories 804 Case 1: Epilepsy Caused by Left-Hemisphere Tumor 804 Case 2: Epilepsy Caused by Right-Hemisphere Infection 805 Case 3: Rehabilitation 805

### Glossary G-1

Name Index NI-1

Subject Index SI-1

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### PREFACE

Looking back to 1980, when *Fundamentals of Human Neuropsychology*'s first edition appeared, reminds us that in the 1970s, human neuropsychology did not yet exist as a unified body of knowledge about the human brain. The field had coalesced around hunches and inferences based on laboratory studies of monkeys, cats, and rats as well as on scattered studies of humans with assorted brain injuries. Over the past 40 years, as neuropsychology expanded, cognitive and social neuroscience have emerged as disciplines. Advances in and ever-more incisive use of noninvasive neuroimaging and abundant research innovations all have improved our understanding of brain anatomy.

Studies of nonhuman species remain central to human neuropsychology's core principles, especially in understanding the structure and connectivity of the primate brain, but are more focused on mechanisms than behavioral phenomena. Many researchers may share a bias that functional neuroimaging can replace studying brain-injured humans and laboratory animals. To others, this seems unlikely given the complexity of brain processes and the nature of sub-traction methods used in imaging. The two approaches have become complementary, and this seventh edition reflects their intellectual evolution:

- Neuroimaging has led the renaissance in understanding neural networks and appreciating the brain's connectome. In this edition, we have expanded Chapter 7, Imaging the Brain's Activity, both to include new methods and to consider the pros and cons of different techniques in light of their relevant uses and costs (see Section 7.5). Coverage of dynamic neural networks appears throughout the book, especially in Chapters 10, 16 to 22, and 27.
- *Epigenetics explains how our behaviors change our brains.* We introduce basic genetics and epigenetic principles in Section 2.3 and highlight both factors throughout the book to reflect the expanding emphasis on epigenetics as a factor in cerebral organization.
- *Neuropsychological assessment is vital for evaluating patients with focal brain injuries.* One unexpected consequence of the cognitive neuroscience revolution is a declining appreciation for neuropsychological theory and clinical focus. In this new edition, we employ the venerable maze as a graphic icon (shown at right) to identify for the reader particular discussions, cases, tables, and figures that link theory and assessment throughout the book.

### **Content and Structure**

*Fundamentals* differs from other textbooks of psychology, cognitive neuroscience, or neuroscience. In our experience, students find it helpful to see the brain from two organizational perspectives, anatomical and behavioral.

• We continue to provide the requisite basic background—about history, evolution, genetics and epigenetics, anatomy, physiology, pharmacology, and methodology—in Part I, Chapters 1 to 7.



- Equally fundamental to understanding subsequent material, Part II, Chapters 8 to 12, outlines the general organization and functions of the cerebral cortex.
- Part III, Chapters 13 to 17, focuses on the anatomically defined cortical regions. Understanding the organization of the cerebral cortex is central to appreciating how the brain functions to produce the complex processes that underlie complex behaviors.
- The psychological constructs presented in Part IV, Chapters 18 to 22, including language, memory, social behavior and affect, spatial behavior, and attention and consciousness, emerge from the neuronal networks explored in Part III. Shifting from anatomy to psychological processes naturally means revisiting material from earlier parts, but this time in the context of psychological theory rather than anatomy.
- Part V, Chapters 23 to 28, considers brain development and plasticity and includes more-detailed discussions of brain disorders introduced earlier in the book. Chapters on neurological and psychiatric disorders and on neuro-psychological assessment continue the book's emphasis on approaching human brain functions from an interdisciplinary perspective.

We have updated all of the chapters and the glossary that follows, both to correspond to new material that reflects the changing face of neuropsychology and to include some unexpected topics—neuroeconomics in Section 22.4 and micronutrients in Section 27.9 are two. Maintaining a manageable length meant sacrificing some detail that may have been prominent in previous editions, sometimes reaching back to the first edition.

To address the challenge inherent in using a comprehensive text and to facilitate access to information, we added section numbers to each chapter's main headings. Readers can easily locate interrelated material relevant across several topics, refresh their knowledge, or jump ahead to learn more.

### **Acknowledgments**

As in the past, we must sincerely thank many people who have contributed to the development of this edition. We are particularly indebted to colleagues from around the world who have been so supportive and have strongly encouraged us to include their favorite topics. We have done so wherever possible.

We also thank the reviewers solicited by our editors on the sixth edition of *Fundamentals*. Their anonymous comments contributed varied perspectives and valuable points of consensus that helped us shape the new edition.

Julie Alvarez	Peter Donovick
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Carnegie Mellon University	Amanda Higley
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The staff at Worth Publishers and W. H. Freeman and Company are amazing and have made this task far more enjoyable than it would be without them. These folks include our sponsoring editor, Daniel DeBonis, assistant editor Nadina Persaud, and editorial assistant Katie Pachnos; our project editors, Enrico Bruno, Andrew Roney, and Janice Stangel; and our production manager, Sarah Segal. Our thanks to art director Diana Blume and interior designer Tamara Newnam for a fresh, inviting, accessible new book design, and to Kevin Kall for a striking cover.

Once again, Cecilia Varas coordinated photo research, ably assisted by researcher Richard Fox. They found photographs and other illustrative materials that we would not have found on our own. We remain indebted to art manager Matt McAdams and the artists at Dragonfly Media for their excellent work in expanding the illustration program and to Kate Scully and her team at Northeastern Graphic for their talents in translating the manuscript onto the page.

Our manuscript editor, Martha Solonche, has contributed to the book's clarity and consistency and proofreader Kate Daly to its accuracy. And as in the past, our gratitude to Barbara Brooks, our development editor, knows no bounds. She has provided a strong guiding hand to our thinking and organization and has done so with humor and a commitment to excellence that shows its stamp all over the book. Thank you, Barbara, for reminding us that the book is for students, not senior investigators, and thus requires us to write simply and clearly, and for keeping us abreast of topical news items that we might otherwise not encounter in our reading of the field's diverse literature.

Once again, errors remain solely attributable to us. In a field that has expanded so dramatically since our first edition, we hope that readers continue to acquire a breadth of knowledge in the ever-expanding world of human neuropsychology. Finally, we thank our students, who have motivated us to continue the journey of *Fundamentals of Human Neuropsychology* for nearly 40 years. Seeing the faces of students light up when they begin to understand how the marvelous brain can produce cognition and behavior continues to be rewarding and is what this endeavor is all about. Once again, we must thank our wives for putting up with us when we were distracted by deadlines and may not always have been our "usual" selves.

### Bryan Kolb and Ian Q. Whishaw

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## **MEDIA AND SUPPLEMENTS**

*Fundamentals of Human Neuropsychology*, Seventh Edition, features a variety of supplemental materials for students and teachers of the text. For more information about any of the items below, please visit Macmillan's online catalog at http://www.macmillanhighered.com.



#### Available at www.macmillanhighered.com/launchpadsolo/neurotk

LaunchPad Solo is a powerful Web-based tool for learning the core concepts of behavioral neuroscience—by witnessing them firsthand. These 30 interactive tutorials allow students to see the nervous system in action via dynamic illustrations, animations, and models that demystify the neural mechanisms behind behavior. These interactive simulations enhance students' understanding of complex biological mechanisms, and carefully crafted multiple-choice questions make it easy to assign and assess each activity. Based on Worth Publishers' groundbreaking *Foundations of Behavioral Neuroscience* CD-ROM, LaunchPad Solo is a valuable accompaniment to any biopsychology course.

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*Psychology and the Real World: Essays Illustrating Fundamental Contributions to Society*, Second Edition, is a superb collection of essays by major researchers that describes their landmark studies. Published in association with the not-for-profit FABBS Foundation, this engaging reader includes Bruce McEwen's work on the neurobiology of stress and adaptation, Elizabeth Loftus's own reflections on her study of false memories, and Jeremy Wolfe on his study of visual search. The new edition also features the new essay, "Can the Mind Be Read in the Brain Waves?" by Emanual Donchin, among many others. A portion of all proceeds is donated to FABBS to support societies of cognitive, psychological, behavioral, and brain sciences.

**Revised! Test Bank** Prepared by Tony Robertson of Vancouver Island University and Robin Wellington of St. John's University, the revised test bank includes over 50 questions per chapter including multiple-choice and short-answer questions. Each item is keyed to the page in the textbook on which the answer can be found. All of the questions have been thoroughly reviewed and edited for accuracy and clarity.

**PowerPoint Slide Sets** For download on the book's catalog page (http://www. macmillanhighered.com/Catalog/product/fundamentalsofhumanneuropsychology-seventhedition-kolb/instructorresources) we offer two sets of Power-Point © presentations. For each chapter, there is a set that includes chapter art and illustrations and a final lecture presentation set that merges detailed chapter outlines with text illustrations and artwork from the book. Each set can be used directly or customized to fit your needs.

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# The Development of Neuropsychology



PORTRAIT

### Living with Traumatic Brain Injury

L.D., an aspiring golfer, had worked as a cook. Following brain damage, the lawyers negotiating his case puzzled over how L.D. continued to excel at golf but at the same time was unable to return to his former work as a cook.

Four years earlier, when he was 21, L.D. had been invited to participate in a sports promotion at a pub. He became ill and was helped onto a balcony by a pub employee. On the balcony, he slipped out of the employee's grasp and fell down five flights of stairs, striking his head against the stairs and wall. He was taken, unconscious, to the emergency ward of the local hospital, where his concussion was assessed on the Glasgow Coma Scale rating as 3, the lowest score on a scale from 3 to 15.

A computed tomography (CT) scan revealed bleeding and swelling on the right side of L.D.'s brain. A neurosurgeon performed a craniotomy (skull removal) over his right frontal cortex to relieve pressure and remove blood. A subsequent CT scan revealed further bleeding on the left side of his brain, and a second craniotomy was performed.

When discharged from the hospital 6 weeks later, L.D.'s recall of the events consisted only of remembering that he had entered the pub and then becoming





aware that he was in a hospital 3 weeks later. L.D. was unable to return to work because he found the multitasking involved in preparing meals too difficult. He was seeking compensation from the company that had hosted the sports promotion and from the pub where he had been injured.

We found that L.D. became frustrated and annoyed when attempting to cook. He had lost his sense of smell and taste and was not interested in socializing. He and his girlfriend had ended their 4-year relationship. We administered a comprehensive neuropsychological examination, and his scores on most tests were typical, except for tests of verbal memory and attention. Magnetic resonance imaging (MRI), a brain-scanning method that can reveal the brain's structure in detail, showed some diffuse damage to both sides of his brain. The accompanying positron emission tomography (PET) images contrast blood flow in a healthy brain (top) to blood flow in patients like L.D. (bottom).

Based on previous patients with traumatic brain injuries and behavioral and brain symptoms similar to L.D.'s, we recommended compensation, which L.D. did

receive, in addition to assistance in finding work less demanding than cooking. He was able to live on his own and successfully returned to playing golf.

According to National Institute of Neurological Disorders and Stroke estimates, 1.7 million U.S. residents receive medical attention each year after suffering traumatic brain injury (TBI), a wound to the brain that results from a blow to the head (detailed in Section 26.3, including *concussion*, the common term for mild TBI). TBI is a contributing factor in 30% of deaths due to accidents and can result from head blows while playing sports, from falls, and from vehicle accidents. While also the most common cause of discharge from military service

(Gubata et al., 2013), TBI most frequently occurs in children under the age of 6, young adults, and those over age 65. The number of people who endure TBI each year but do not report an injury is not known.

L.D. is not unusual in that, in his own view and in the view of acquaintances, he has mainly recovered, but lingering problems prevent him from resuming his former level of employment. L.D. is also not unusual in that he puzzles both friends and experts with his ability to do some things well while being unable to do other things that appear less difficult. Finally, L.D. is not unusual in that the diffuse brain injury revealed by brain-scanning methods (see Chapter 7) does not predict his abilities and disabilities well.

Neuropsychological testing is required to confirm that he has enduring cognitive deficits and to identify those deficits. L.D.'s poor scores on tests of memory and attention are associated with his difficulty in everyday problem solving, a mental skill referred to as *executive function*. Thus, L.D. can play golf at a high level because it requires that he execute only one act at a time, but he cannot prepare a meal, which requires him to multitask.

This book's objective is to describe **neuropsychology**, the scientific study of the relations between brain function and behavior. Neuropsychology draws information from many disciplines—anatomy, biology, biophysics, ethology, pharmacology, physiology, physiological psychology, and philosophy among them. Neuropsychological investigations into the brain–behavior relationship can identify impairments in behavior that result from brain trauma and from diseases that affect the brain.

Neuropsychology is strongly influenced by two experimental and theoretical investigations into brain function: the **brain theory**, which states that the brain is the source of behavior; and the **neuron theory**, the idea that the unit of brain structure and function is the **neuron**, or nerve cell. This chapter traces the development of these two theories and introduces neuropsychology's major principles, which have emerged from investigating brain function and which we apply in subsequent chapters.

### 1.1 The Brain Theory

People knew what the brain looked like long before they had any idea of what it did. Early in human history, hunters must have noticed that all animals have brains and that the brains of different animals, including humans, although varying greatly in size, look quite similar. Over the past 2000 years, anatomists have produced drawings of the brain, named its distinctive parts, and developed methods to describe the functions of those parts.

### What Is the Brain?

**Brain** is an Old English word for the tissue found within the skull (cranium). **Figure 1.1**A shows a human brain as oriented in the skull of an upright human. Just as your body is symmetrical, having two arms and two legs, so is your brain. Its two almost symmetrical halves are called **hemispheres**, one on the left side of the body and the other on the right, as shown in the frontal view. If you make your right hand into a fist and hold it up with the thumb pointing toward the front, the fist can represent the brain's left hemisphere as positioned within the skull (Figure 1.1B).



The brain's basic plan is that of a tube filled with salty fluid called **cerebrospinal fluid** (CSF), which cushions the brain and assists in removing metabolic waste. Parts of the tube's covering have bulged outward and folded, forming the more complicated-looking surface structures that initially catch the eye in Figure 1.1A. The brain's most conspicuous outer feature is the crinkled tissue that has expanded from the front of the tube to such an extent that it folds over and covers much of the rest of the brain (Figure 1.1A at right). This outer layer is the **cerebral cortex** (usually referred to as just the cortex). The word *cortex*, meaning "bark" in Latin, is apt, because the cortex's folded appearance resembles the bark of a tree and because, as bark covers a tree, cortical tissue covers most of the rest of the brain.

The folds, or bumps, in the cortex are called **gyri** (*gyrus* is Greek for "circle"), and the creases between them are called **sulci** (*sulcus* is Greek for "trench"). Some large sulci are called fissures: the **longitudinal fissure**, shown in the Figure 1.1 frontal view, divides the two hemispheres, and the **lateral fissure** divides each hemisphere into halves. (In our fist analogy, the lateral fissure is the crease separating the thumb from the other fingers.) Pathways called *commissures*, the largest of which is the **corpus callosum**, connect the brain's hemispheres.

The cortex of each hemisphere forms four lobes, each named after the skull bones beneath which they lie. The **temporal lobe** is located below the lateral fissure at approximately the same place as the thumb on your upraised fist (Figure 1.1B). Lying immediately above the temporal lobe is the **frontal lobe**, so called because it is located at the front of the brain beneath the frontal bones. The **parietal lobe** is located behind the frontal lobe, and the **occipital lobe** constitutes the area at the back of each hemisphere.

The cerebral cortex constitutes most of the **forebrain**, so named because it develops from the front part of the neural tube that makes up an embryo's primitive brain. The remaining "tube" underlying the cortex is the **brainstem**. The brainstem is in turn connected to the **spinal cord**, which descends down the back within the vertebral column. To visualize the relations among these parts of the brain, again imagine your upraised fist: the folded fingers represent the cortex, the heel of the hand represents the brainstem, and the arm represents the spinal cord. **The Human Brain** (A) The human brain, as oriented in the head. The visible part of the intact brain is the cerebral cortex, a thin sheet of tissue folded many times and fitting snugly inside the skull. (B) Your right fist can serve as a guide to the orientation of the brain and its cerebral lobes. (Photograph: Arthur Glauberman/ Science Source.) This three-part brain is conceptually useful evolutionarily, anatomically, and functionally. Evolutionarily, animals with only spinal cords preceded those with brainstems, which preceded those with forebrains. Anatomically, in prenatal development, the spinal cord forms before the brainstem, which forms before the forebrain. Functionally, the forebrain mediates cognitive functions; the brainstem mediates regulatory functions such as eating, drinking, and moving; and the spinal cord conveys sensory information into the brain and sends commands from the brain to the muscles to move.

# How Does the Brain Relate to the Rest of the Nervous System?

The brains and spinal cords of mammals are encased in protective bones: the skull protects the brain, and vertebrae protect the spinal cord. Together, the brain and spinal cord are called the **central nervous system**, or CNS. The CNS is connected to the rest of the body through nerve fibers.

Some fibers carry information away from the CNS; others bring information into it. These nerve fibers constitute the **peripheral nervous system**, or PNS. One distinguishing feature between the central and peripheral nervous systems is that PNS tissue will regrow after damage, whereas the CNS does not regenerate lost tissue. Thus the long-term prospect for L.D. is that he will show little further recovery in higher brain functions such as planning, but his golf game may improve.

Nerve fibers that bring information to the CNS are extensively connected to sensory receptors on the body's surface and to muscles, enabling the brain to sense the world and to react. This subdivision of the PNS is called the **somatic nervous system** (SNS). Organized into **sensory pathways**, collections of fibers carry messages for specific senses, such as hearing, vision, and touch. Sensory pathways carry information collected on one side of the body mainly to the cortex in the *opposite* hemisphere. The brain uses this information to construct perceptions of the world, memories of past events, and expectations about the future.



Sensory and motor pathways also influence the muscles of your internal organs—the beating of your heart, contractions of your stomach, raising and lowering of your diaphragm to inflate and deflate your lungs. The pathways that control these organs are a subdivision of the PNS called the **autonomic nervous system** (ANS). **Figure 1.2** diagrams these major divisions of the human nervous system.

### Figure 1.2 ▼

#### Major Divisions of the Human Nervous System

The brain and spinal cord together make up the CNS. All the nerve processes radiating from it and the neurons outside it connect to the sensory receptors and muscles in the SNS and to internal organs in the ANS. This constitutes the peripheral nervous system (PNS).



### I.2 Perspectives on the Brain and Behavior

The central topic in neuropsychology is how brain and behavior are related. We begin with three classic theories—mentalism, dualism, and materialism—representative of the many attempts scientists and philosophers have made to relate brain and behavior. Then we explain why contemporary brain investigators subscribe to the materialist view. In reviewing these theories, you will recognize that some "commonsense" ideas you might have about behavior are derived from one or another of these perspectives (Finger, 1994).

### Aristotle: Mentalism

The Greek philosopher Aristotle (384–322 B.C.E.) was the first person to develop a formal theory of behavior. He proposed that a nonmaterial *psyche* is responsible for human thoughts, perceptions, and emotions and for such processes as imagination, opinion, desire, pleasure, pain, memory, and reason.

The psyche is independent of the body but in Aristotle's view, works through the heart to produce action. As in Aristotle's time, heart metaphors serve to this day to describe our behavior: "put your heart into it" and "she wore her heart on her sleeve" are but two. Aristotle's view that this nonmaterial psyche governs behavior was adopted by Christianity in its concept of the soul and has been widely disseminated throughout the world. *Mind* is an Anglo-Saxon word for memory, and, when "psyche" was translated into English, it became *mind*.

The philosophical position that a person's mind is responsible for behavior is called *mentalism*, meaning "of the mind." Mentalism still influences modern neuropsychology: many terms—sensation, perception, attention, imagination, emotion, memory, and volition among them—are still employed as labels for patterns of behavior. (Scan some of the chapter titles in this book.) Mentalism also influences people's ideas about how the brain might work, because the mind was proposed to be nonmaterial and so have no "working parts." We still use the term *mind* to describe our perceptions of ourselves as having unitary consciousness despite recognizing that the brain is composed of many parts, and as we describe in Section 1.3, has many separate functions.

### **Descartes:** Dualism

René Descartes (1596–1650), a French anatomist and philosopher, wrote what could be considered the first neuropsychology text in 1684. In it he gave the brain a prominent role. Descartes was impressed by machines made in his time, such as those encased in certain statues on display for public amusement in the water gardens of Paris. When a passerby stopped in front of one particular statue, for example, his or her weight depressed a lever under the sidewalk, causing the statue to move and spray water at the person's face. Descartes proposed that the body is like these machines. It is material and thus clearly has spatial extent, and it responds mechanically and reflexively to events that impinge on it (**Figure 1.3**).

Described as nonmaterial and without spatial extent, the mind, as Descartes saw it, was different from the body. The body

#### Figure 1.3 ▼

**Descartes's Concept of** Reflex Action In this mechanistic depiction, heat from the flame causes a thread in the nerve to be pulled, releasing ventricular fluid through an opened pore. The fluid flows through the nerve, causing not only the foot to withdraw but the eyes and head to turn to look at it, the hands to advance, and the whole body to bend to protect it. Descartes ascribed to reflexes behaviors that today are considered too complex to be reflexive, whereas he did not conceive of behavior described as reflexive today. (From Descartes, 1664. Print Collector/Getty Images.)





operated on principles similar to those of a machine, but the mind decided what movements the machine should make. Descartes located the site of action of the mind in the *pineal body*, a small structure high in the brainstem. His choice was based on the logic that the pineal body is the only structure in the nervous system not composed of two bilaterally symmetrical halves and moreover that it is located close to the ventricles. His idea was that the mind, working through the pineal body, controlled valves that allowed CSF to flow from the ventricles through nerves to muscles, filling them and making them move.

For Descartes, the cortex was not functioning neural tissue but merely a covering for the pineal body. People later argued against Descartes's hypothesis by pointing out that no obvious changes in behavior occur when the pineal body is damaged. Today, the pineal body, now called the *pineal gland*, is known to influence daily and seasonal biorhythms. And the cortex became central to understanding behavior as scientists began to discover that it performs the functions Descartes attributed to a nonmaterial mind.

Descartes's position that mind and body are separate but can interact is called **dualism**, to indicate that behavior is caused by two things. Dualism originated a quandary known as the **mind–body problem**: for Descartes, a person is capable of consciousness and rationality only because of having a mind, but how can a nonmaterial mind produce movements in a material body?

To understand the mind-body problem, consider that for the mind to affect the body, it must expend energy, adding new energy to the material world. The spontaneous creation of new energy violates a fundamental law of physics, the law of conservation of matter and energy. Thus, dualists who argue that mind and body interact causally cannot explain how.

Other dualists avoid this problem by reasoning either that the mind and body function in parallel, without interacting, or that the body can affect the mind but the mind cannot affect the body. These dualist positions allow for both a body and a mind by sidestepping the problem of violating the laws of physics.

Descartes's theory also spawned unforeseen and unfortunate consequences. In proposing his dualistic theory of brain function, Descartes also proposed that animals do not have minds and therefore are only machinelike, that the mind develops with language in children, and that mental disease impairs rational processes of the mind. Some of his followers justified the inhumane treatment of animals, children, and the mentally ill on the grounds that they did not have minds: an animal did not have a mind, a child developed a mind only at about 7 years of age when able to talk and reason, and the mentally ill had "lost their minds." Likewise misunderstanding Descartes's position, some people still argue that the study of animals cannot be a source of useful insight into human neuropsychology.

Descartes himself, however, was not so dogmatic. He was kind to his dog, Monsieur Grat. He suggested that whether animals had minds could be tested experimentally. He proposed that the key indications of the presence of a mind are the use of language and reason. He suggested that, if it could be demonstrated that animals could speak or reason, then such demonstration would indicate that they have minds. Exciting lines of research in modern experimental neuropsychology, demonstrated throughout this book, are directed toward the comparative study of animals and humans with respect to language and reason.

### **Darwin: Materialism**

By the mid-nineteenth century, our contemporary perspective of **materialism** was taking shape. The idea is that rational behavior can be fully explained by the workings of the nervous system. No need to refer to a nonmaterial mind. Materialism has its roots in the evolutionary theories of two English naturalists, Alfred Russel Wallace (1823–1913) and Charles Darwin (1809–1892).

#### **Evolution by Natural Selection**

Darwin and Wallace looked carefully at the structures of plants and animals and at animal behavior. Despite the diversity of living organisms, the two were struck by their many similarities. For example, the skeleton, muscles, internal organs, and nervous systems of humans, monkeys, and other mammals are similar. These observations support the idea that living things must be related, an idea widely held even before Wallace and Darwin. More importantly, these same observations led to the idea that the similarities could be explained if all animals had evolved from a common ancestor.

Darwin elaborated his theory in *On the Origin of Species by Means of Natural Selection, or the Preservation of Favored Races in the Struggle for Life*, originally published in 1859. He argued that all organisms, both living and extinct, are descended from an ancestor that lived in the remote past. Animals have similar traits because those traits are passed from parents to their offspring. The nervous system is one such trait, an adaptation that emerged only once in animal evolution. Consequently, the nervous systems of living animals are similar because they are descendants of that first nervous system. Those animals with brains likewise are related, because all animals with brains descend from the first animal to evolve a brain.

**Natural selection** is Darwin's theory for explaining how new species evolve and how they change over time. A **species** is a group of organisms that can breed among themselves but usually not with members of other species. Individual organisms within a species vary in their **phenotype**, the traits we can see or measure. Some are big, some are small, some are fat, some are fast, some are light colored, some have large teeth. Individual organisms whose traits best help them to survive in their environment are likely to leave more offspring that feature those traits.

#### Natural Selection and Heritable Factors

Beginning about 1857, Gregor Mendel (1822–1884), an Austrian monk, experimented with plant traits, such as the flower color and height of pea plants, and determined that such traits are due to heritable factors we now call *genes* (elaborated in Section 2.3). Thus, the unequal ability of individual organisms to survive and reproduce is related to the different genes they inherit from their parents and pass on to their offspring.

Mendel realized that the environment plays a role in how genes express traits: planting tall peas in poor soil reduces their height. Likewise, experience affects gene expression: children who lack educational opportunities may not adapt as well in society as children who attend school. The science that studies differences in *gene expression* related to environment and experience is **epigenetics** (see Section 2.3). Epigenetic factors do not change the genes individuals inherit,

but they do affect whether a gene is active—turned on or off—and in this way influence an individual's phenotypic traits.

Environment and experience play an important role in how animals adapt and learn. Adaptation and learning are in turn enabled by the brain's ability to form new connections and pathways. This **neuroplasticity** is the nervous system's potential for physical or chemical change that enhances its adaptability to environmental change and its ability to compensate for injury. Epigeneticists are especially involved in describing how genes express the brain's plastic changes under the influence of environment and experience.

### **Contemporary Perspectives**

As a scientific theory, contemporary brain theory is both materialistic and neutral with respect to beliefs, including religious beliefs. Science is not a belief system but rather a set of procedures designed to allow investigators to confirm answers to questions independently. Behavioral scientists, both those with and those without religious beliefs, use the scientific method to examine relations between the brain and behavior and to *replicate* (repeat) others' work on brainbehavior relationships. Today, when neuroscientists use the term *mind*, most are not referring to a nonmaterial entity but using it as shorthand for the collective functions of the brain.

### I.3 Brain Function: Insights from Brain Injury

You may have heard statements such as, "Most people use only 10% of their brains" or "He put his entire mind to the problem." Both statements arise from early suggestions that people with brain damage often get along quite well. Nevertheless, most people who endure brain damage will tell you that some behavior is lost and some survives, as it did for L.D., whose case begins this chapter. Our understanding of brain function has its origins in individuals with brain damage. We now describe some fascinating neuropsychological concepts that have emerged from studying such individuals.

### **Localization of Function**

The first general theory to propose that different parts of the brain have different functions was developed in the early 1800s by German anatomist Franz Josef Gall (1758–1828) and his partner Johann Casper Spurzheim (1776–1832) (Critchley, 1965). Gall and Spurzheim proposed that the cortex and its gyri were functioning parts of the brain and not just coverings for the pineal body. They supported their position by showing through dissection that the brain's most distinctive motor pathway, the *corticospinal* (cortex to spinal cord) *tract*, leads from the cortex of each hemisphere to the spinal cord on the opposite side of the body. Thus, they suggested, the cortex sends instructions to the spinal cord to command muscles to move. They also recognized that the two symmetrical hemispheres of the brain are connected by the corpus callosum and can thus interact. Gall's ideas about behavior began with an observation made in his youth. Reportedly, he observed that students with good memories had large, protruding eyes and surmised that a well-developed memory area of the cortex located behind the eyes would cause them to protrude. Thus, he developed his hypothesis, called **localization of function**, that a different, specific brain area controls each kind of behavior.

Gall and Spurzheim furthered this idea by collecting instances of individual differences that they related to other prominent features of the head and skull. They proposed that a bump on the skull indicated a well-developed underlying cortical gyrus and therefore a greater capacity for a particular behavior; a depression in the same area indicated an underdeveloped gyrus and a concomitantly reduced faculty.

Thus, just as a person with a good memory had protruding eyes, a person with a high degree of musical ability, artistic talent, sense of color, combativeness, or mathematical skill would have large bumps in other

areas of the skull. **Figure 1.4** shows where Gall and Spurzheim located the trait of amativeness (sexiness). A person with a bump there would be predicted to have a strong sex drive, whereas a person low in this trait would have a depression in the same region.

Gall and Spurzheim identified a long list of behavioral traits borrowed from the English or Scottish psychology of the time. They assigned each trait to a particular part of the skull and, by inference, to the underlying brain part. Spurzheim called this study of the relation between the skull's surface features and a person's mental faculties **phrenology** (*pbren* is a Greek word for "mind"). **Figure 1.5** shows the resulting phrenological map that he and Gall devised.

Some people seized on phrenology as a means of making personality assessments. They developed a method called *cranioscopy*, in which a device was placed around the skull to measure its bumps and depressions. These measures were then correlated with the phrenological map to determine the person's likely behavioral traits. The faculties described in phrenology characteristics such as faith, self-love, and veneration—are impossible to define and to quantify objectively. Phrenologists also failed to recognize that the superficial features on the skull reveal little about the underlying brain. Gall's notion of localization of function, although inaccurate scientifically, laid the conceptual foundation for modern views of functional localization, beginning with the localization of language.

Among his many observations, Gall gave the first account of a case in which frontal-lobe brain damage was followed by loss of the ability to speak. The patient was a soldier whose brain was pierced by a sword driven through his eye. Note that, on the phrenological map in Figure 1.5, language is located below the eye. Gall gave the





Gall correlated bumps in the region of the cerebellum with the brain's "amativeness" center.

### Figure 1.4 ◄

**Gall's Theory** Depressions (A) and bumps (B) on the skull indicate the size of the underlying area of brain and thus, when correlated with personality traits, indicate the part of the brain controlling the trait. While examining a patient (who because of her behavior became known as "Gall's Passionate Widow"), Gall found a bump at the back of her neck that he thought located the center for "amativeness" in the cerebellum. (Research from Olin, 1910.)



#### Figure 1.5 ▲

**Phrenology Bust** Originally, Gall's system identified putative locations for 27 faculties. As the study of phrenology expanded, the number of faculties increased. Language, indicated at the front of the brain, below the eye, actually derived from one of Gall's case studies. A soldier had received a knife wound that penetrated the frontal lobe of his left hemisphere through the eye. The soldier lost the ability to speak. (Mary Evans Picture Library/Image Works.)